## Eigenvalues of tensors

 and some very basic spectral hypergraph theoryLek-Heng Lim

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## Hypermatrices

Totally ordered finite sets: $[n]=\{1<2<\cdots<n\}, n \in \mathbb{N}$.

- Vector or n-tuple

$$
f:[n] \rightarrow \mathbb{R}
$$

If $f(i)=a_{i}$, then $f$ is represented by $\mathbf{a}=\left[a_{1}, \ldots, a_{n}\right]^{\top} \in \mathbb{R}^{n}$.

- Matrix

$$
f:[m] \times[n] \rightarrow \mathbb{R}
$$

If $f(i, j)=a_{i j}$, then $f$ is represented by $A=\left[a_{i j}\right]_{i, j=1}^{m, n} \in \mathbb{R}^{m \times n}$.

- Hypermatrix (order 3)

$$
f:[/] \times[m] \times[n] \rightarrow \mathbb{R}
$$

If $f(i, j, k)=a_{i j k}$, then $f$ is represented by $\mathcal{A}=\llbracket a_{i j k} \rrbracket_{i, j, k=1}^{l, m, n} \in \mathbb{R}^{I \times m \times n}$. Normally $\mathbb{R}^{X}=\{f: X \rightarrow \mathbb{R}\}$. Ought to be $\mathbb{R}^{[n]}, \mathbb{R}^{[m] \times[n]}, \mathbb{R}^{[I] \times[m] \times[n]}$.

## Hypermatrices and tensors

Up to choice of bases

- $\mathbf{a} \in \mathbb{R}^{n}$ can represent a vector in $V$ (contravariant) or a linear functional in $V^{*}$ (covariant).
- $A \in \mathbb{R}^{m \times n}$ can represent a bilinear form $V \times W \rightarrow \mathbb{R}$ (contravariant), a bilinear form $V^{*} \times W^{*} \rightarrow \mathbb{R}$ (covariant), or a linear operator $V \rightarrow W$ (mixed).
- $\mathcal{A} \in \mathbb{R}^{I \times m \times n}$ can represent trilinear form $U \times V \times W \rightarrow \mathbb{R}$ (contravariant), bilinear operators $V \times W \rightarrow U$ (mixed), etc.

A hypermatrix is the same as a tensor if
(1) we give it coordinates (represent with respect to some bases);
(2) we ignore covariance and contravariance.

## Matrices make useful models

- A matrix doesn't always come from an operator.
- Can be a list of column or row vectors:
- gene-by-microarray matrix,
- movies-by-viewers matrix,
- list of codewords.
- Can be a convenient way to represent graph structures:
- adjacency matrix,
- graph Laplacian,
- webpage-by-webpage matrix.
- Useful to regard them as matrices and apply matrix operations:
- A gene-by-microarray matrix, $A=U \Sigma V^{\top}$ gives cellular states (eigengenes), biological phenotype (eigenarrays),
- A adjacency matrix, $A^{k}$ counts number of paths of length $\leq k$ from node $i$ to node $j$.

Ditto for hypermatrices.

## Basic operation on a hypermatrix

- A matrix can be multiplied on the left and right: $A \in \mathbb{R}^{m \times n}$, $X \in \mathbb{R}^{p \times m}, Y \in \mathbb{R}^{q \times n}$,

$$
(X, Y) \cdot A=X A Y^{\top}=\left[c_{\alpha \beta}\right] \in \mathbb{R}^{p \times q}
$$

where

$$
c_{\alpha \beta}=\sum_{i, j=1}^{m, n} x_{\alpha i} y_{\beta j} a_{i j} .
$$

- A hypermatrix can be multiplied on three sides: $\mathcal{A}=\llbracket a_{i j k} \rrbracket \in \mathbb{R}^{I \times m \times n}$, $X \in \mathbb{R}^{p \times 1}, Y \in \mathbb{R}^{q \times m}, Z \in \mathbb{R}^{r \times n}$,

$$
(X, Y, Z) \cdot \mathcal{A}=\llbracket c_{\alpha \beta \gamma} \rrbracket \in \mathbb{R}^{p \times q \times r}
$$

where

$$
c_{\alpha \beta \gamma}=\sum_{i, j, k=1}^{l, m, n} x_{\alpha i} y_{\beta j} z_{\gamma k} a_{i j k}
$$

## Basic operation on a hypermatrix

- Covariant version:

$$
\mathcal{A} \cdot\left(X^{\top}, Y^{\top}, Z^{\top}\right):=(X, Y, Z) \cdot \mathcal{A}
$$

- Gives convenient notations for multilinear functionals and multilinear operators. For $\mathbf{x} \in \mathbb{R}^{\prime}, \mathbf{y} \in \mathbb{R}^{m}, \mathbf{z} \in \mathbb{R}^{n}$,

$$
\begin{aligned}
& \mathcal{A}(\mathbf{x}, \mathbf{y}, \mathbf{z}):=\mathcal{A} \cdot(\mathbf{x}, \mathbf{y}, \mathbf{z})=\sum_{i, j, k=1}^{I, m, n} a_{i j k} x_{i} y_{j} z_{k} \\
& \mathcal{A}(I, \mathbf{y}, \mathbf{z}):=\mathcal{A} \cdot(I, \mathbf{y}, \mathbf{z})=\sum_{j, k=1}^{m, n} a_{i j k} y_{j} z_{k}
\end{aligned}
$$

## Inner products and norms

- $\ell^{2}([n]): \mathbf{a}, \mathbf{b} \in \mathbb{R}^{n},\langle\mathbf{a}, \mathbf{b}\rangle=\mathbf{a}^{\top} \mathbf{b}=\sum_{i=1}^{n} a_{i} b_{i}$.
- $\ell^{2}([m] \times[n]): A, B \in \mathbb{R}^{m \times n},\langle A, B\rangle=\operatorname{tr}\left(A^{\top} B\right)=\sum_{i, j=1}^{m, n} a_{i j} b_{i j}$.
- $\ell^{2}([/] \times[m] \times[n]): \mathcal{A}, \mathcal{B} \in \mathbb{R}^{\prime \times m \times n},\langle\mathcal{A}, \mathcal{B}\rangle=\sum_{i, j, k=1}^{l, m, n} a_{i j k} b_{i j k}$.
- In general,

$$
\begin{aligned}
\ell^{2}([m] \times[n]) & =\ell^{2}([m]) \otimes \ell^{2}([n]), \\
\ell^{2}([/] \times[m] \times[n]) & =\ell^{2}([/]) \otimes \ell^{2}([m]) \otimes \ell^{2}([n])
\end{aligned}
$$

- Frobenius norm

$$
\|\mathcal{A}\|_{F}^{2}=\sum_{i, j, k=1}^{1, m, n} a_{i j k}^{2}
$$

## Symmetric hypermatrices

- Cubical hypermatrix $\llbracket a_{i j k} \rrbracket \in \mathbb{R}^{n \times n \times n}$ is symmetric if

$$
a_{i j k}=a_{i k j}=a_{j i k}=a_{j k i}=a_{k i j}=a_{k j i}
$$

- Invariant under all permutations $\sigma \in \mathfrak{S}_{k}$ on indices.
- $S^{k}\left(\mathbb{R}^{n}\right)$ denotes set of all order- $k$ symmetric hypermatrices.


## Example

Higher order derivatives of multivariate functions.

## Example

Moments of a random vector $\mathbf{x}=\left(X_{1}, \ldots, X_{n}\right)$ :

$$
m_{k}(\mathbf{x})=\left[E\left(x_{i_{1}} x_{i_{2}} \cdots x_{i_{k}}\right)\right]_{i_{1}, \ldots, i_{k}=1}^{n}=\left[\int \cdots \int x_{i_{1}} x_{i_{2}} \cdots x_{i_{k}} d \mu\left(x_{i_{1}}\right) \cdots d \mu\left(x_{i_{k}}\right)\right]_{i_{1}, \ldots, i_{k}=1}^{n} .
$$

## Symmetric hypermatrices

## Example

Cumulants of a random vector $\mathbf{x}=\left(X_{1}, \ldots, X_{n}\right)$ :

$$
\kappa_{k}(\mathbf{x})=\left[\sum_{A_{1} \sqcup \ldots \cup A_{p}=\left\{i_{1}, \ldots, i_{k}\right\}}(-1)^{p-1}(p-1)!E\left(\prod_{i \in A_{1}} x_{i}\right) \cdots E\left(\prod_{i \in \mathcal{A}_{p}} x_{i}\right)\right]_{i_{1}, \ldots, i_{k}=1}^{n} .
$$

For $n=1, \kappa_{k}(x)$ for $k=1,2,3,4$ are the expectation, variance, skewness, and kurtosis.

- Important in Independent Component Analysis (ICA).


## Numerical multilinear algebra

Bold claim: every topic discussed in Golub-Van Loan has a multilinear generalization.

- Numerical tensor rank (GV Chapter 2)
- Conditioning of multilinear systems (GV Chapter 3)
- Unsymmetric eigenvalue problem for hypermatrices (GV Chapter 7)
- Symmetric eigenvalue problem for hypermatrices (GV Chapter 8)
- Tensor approximation problems (GV Chapter 12)


## DARPA mathematical challenge eight

One of the twenty three mathematical challenges announced at DARPA Tech 2007.

## Problem

Beyond convex optimization: can linear algebra be replaced by algebraic geometry in a systematic way?

- Algebraic geometry in a slogan: polynomials are to algebraic geometry what matrices are to linear algebra.
- Polynomial $f \in \mathbb{R}\left[x_{1}, \ldots, x_{n}\right]$ of degree $d$ can be expressed as

$$
f(\mathbf{x})=a_{0}+\mathbf{a}_{1}^{\top} \mathbf{x}+\mathbf{x}^{\top} A_{2} \mathbf{x}+\mathcal{A}_{3}(\mathbf{x}, \mathbf{x}, \mathbf{x})+\cdots+\mathcal{A}_{d}(\mathbf{x}, \ldots, \mathbf{x})
$$

$$
a_{0} \in \mathbb{R}, \mathbf{a}_{1} \in \mathbb{R}^{n}, A_{2} \in \mathbb{R}^{n \times n}, \mathcal{A}_{3} \in \mathbb{R}^{n \times n \times n}, \ldots, \mathcal{A}_{d} \in \mathbb{R}^{n \times \cdots \times n}
$$

- Numerical linear algebra: $d=2$.
- Numerical multilinear algebra: $d>2$.


## Multilinear spectral theory

Let $\mathcal{A} \in \mathbb{R}^{n \times n \times n}$ (easier if $\mathcal{A}$ symmetric).
(1) How should one define its eigenvalues and eigenvectors?
(0) What is a decomposition that generalizes the eigenvalue decomposition of a matrix?
Let $\mathcal{A} \in \mathbb{R}^{1 \times m \times n}$
(1) How should one define its singualr values and singular vectors?
(2) What is a decomposition that generalizes the singular value decomposition of a matrix?
Somewhat surprising: (1) and (2) have different answers.

## Tensor ranks (Hitchcock, 1927)

- Matrix rank. $A \in \mathbb{R}^{m \times n}$.

$$
\begin{aligned}
\operatorname{rank}(A) & =\operatorname{dim}\left(\operatorname{span}_{\mathbb{R}}\left\{A_{\bullet 1}, \ldots, A_{\bullet}\right\}\right) & & \text { (column rank) } \\
& =\operatorname{dim}\left(\operatorname{span}_{\mathbb{R}}\left\{A_{1 \bullet}, \ldots, A_{m \bullet}\right\}\right) & & \text { (row rank) } \\
& =\min \left\{r \mid A=\sum_{i=1}^{r} \mathbf{u}_{i} \mathbf{v}_{i}^{\top}\right\} & & \text { (outer product rank). }
\end{aligned}
$$

- Multilinear rank. $\mathcal{A} \in \mathbb{R}^{I \times m \times n}$. $\operatorname{rank}_{\boxplus}(A)=\left(r_{1}(A), r_{2}(A), r_{3}(A)\right)$,

$$
\begin{aligned}
& r_{1}(A)=\operatorname{dim}\left(\operatorname{span}_{\mathbb{R}}\left\{A_{1 \bullet \bullet}, \ldots, A_{l \bullet \bullet}\right\}\right) \\
& r_{2}(A)=\operatorname{dim}\left(\operatorname{span}_{\mathbb{R}}\left\{A_{\bullet 1 \bullet}, \ldots, A_{\bullet m \bullet}\right\}\right) \\
& r_{3}(A)=\operatorname{dim}\left(\operatorname{span}_{\mathbb{R}}\left\{A_{\bullet \bullet 1}, \ldots, A_{\bullet \bullet n}\right\}\right)
\end{aligned}
$$

- Outer product rank. $\mathcal{A} \in \mathbb{R}^{I \times m \times n}$.

$$
\operatorname{rank}_{\otimes}(\mathcal{A})=\min \left\{r \mid \mathcal{A}=\sum_{i=1}^{r} \mathbf{u}_{i} \otimes \mathbf{v}_{i} \otimes \mathbf{w}_{i}\right\}
$$

where $\mathbf{u} \otimes \mathbf{v} \otimes \mathbf{w}:=\llbracket u_{i} v_{j} w_{k} \rrbracket_{i, j, k=1}^{l, m, n}$.

## Eigenvalue and singular value decompositions

- Rank revealing decompositions associated with outer product rank.
- Symmetric eigenvalue decomposition of $\mathcal{A} \in \mathrm{S}^{3}\left(\mathbb{R}^{n}\right)$,

$$
\begin{equation*}
\mathcal{A}=\sum_{i=1}^{r} \lambda_{i} \mathbf{v}_{i} \otimes \mathbf{v}_{i} \otimes \mathbf{v}_{i} \tag{1}
\end{equation*}
$$

where $\operatorname{rank}_{\mathrm{s}}(A)=\min \left\{r \mid \mathcal{A}=\sum_{i=1}^{r} \lambda_{i} \mathbf{v}_{i} \otimes \mathbf{v}_{i} \otimes \mathbf{v}_{i}\right\}=r$.

- P. Comon, G. Golub, L, B. Mourrain, "Symmetric tensor and symmetric tensor rank," SIAM J. Matrix Anal. Appl.
- Singular value decomposition of $\mathcal{A} \in \mathbb{R}^{I \times m \times n}$,

$$
\begin{equation*}
\mathcal{A}=\sum_{i=1}^{r} \mathbf{u}_{i} \otimes \mathbf{v}_{i} \otimes \mathbf{w}_{i} \tag{2}
\end{equation*}
$$

where $\operatorname{rank}_{\otimes}(\mathcal{A})=r$.

- V. de Silva, L, "Tensor rank and the ill-posedness of the best low-rank approximation problem," SIAM J. Matrix Anal. Appl.
- (1) used in applications of ICA to signal processing; (2) used in applications of the PARAFAC model to analytical chemistry.


## Eigenvalue and singular value decompositions

- Rank revealing decompositions associated with the multilinear rank.
- Symmetric eigenvalue decomposition of $\mathcal{A} \in \mathrm{S}^{3}\left(\mathbb{R}^{n}\right)$,

$$
\begin{equation*}
\mathcal{A}=(U, U, U) \cdot \mathcal{C} \tag{3}
\end{equation*}
$$

where $\operatorname{rank}_{\boxplus}(A)=(r, r, r), U \in \mathbb{R}^{n \times r}$ has orthonormal columns and $\mathcal{C} \in \mathrm{S}^{3}\left(\mathbb{R}^{r}\right)$.

- Singular value decomposition of $\mathcal{A} \in \mathbb{R}^{I \times m \times n}$,

$$
\begin{equation*}
\mathcal{A}=(U, V, W) \cdot \mathcal{C} \tag{4}
\end{equation*}
$$

where $\operatorname{rank}_{\boxplus}(A)=\left(r_{1}, r_{2}, r_{3}\right), U \in \mathbb{R}^{1 \times r_{1}}, V \in \mathbb{R}^{m \times r_{2}}, W \in \mathbb{R}^{n \times r_{3}}$ have orthonormal columns and $\mathcal{C} \in \mathbb{R}^{r_{1} \times r_{2} \times r_{3}}$.

- L. De Lathauwer, B. De Moor, J. Vandewalle "A multilinear singular value decomposition," SIAM J. Matrix Anal. Appl., 21 (2000), no. 4.
- B. Savas, L, "Best multilinear rank approximation with quasi-Newton method on Grassmannians," preprint.


## Eigenvalues

- Normal $A$
- Invariant subspace: $A \mathbf{x}=\lambda \mathbf{x}$.
- Rayleigh quotient: $\mathbf{x}^{\top} A \mathbf{x} / \mathbf{x}^{\top} \mathbf{x}$.
- Lagrange multipliers: $\mathbf{x}^{\top} A \mathbf{x}-\lambda\left(\|\mathbf{x}\|^{2}-1\right)$.
- Best rank-1 approximation: $\min _{\|\mathbf{x}\|=1}\left\|A-\lambda \mathbf{x x}^{\top}\right\|$.
- Nonnormal $A$
- Pseudospectrum: $\sigma_{\varepsilon}(A)=\left\{\lambda \mid\left\|(A-\lambda I)^{-1}\right\|>\varepsilon^{-1}\right\}$.
- Numerical range: $W(A)=\left\{\mathbf{x}^{\top} A \mathbf{x} \mid\|\mathbf{x}\|=1\right\}$.
- Irreducible representations of $C^{*}(A)$ with natural Borel structure.
- Primitive ideals of $C^{*}(A)$ with hull-kernel topology.


## Variational approach to eigen/singular values/vectors

- $A \in \mathbb{R}^{m \times n}$ symmetric.
- Eigenvalues/vectors are critical values/points of $\mathbf{x}^{\top} A \mathbf{x} /\|\mathbf{x}\|_{2}^{2}$.
- Equivalently, critical values/points of $\mathbf{x}^{\top} A \mathbf{x}$ constrained to unit sphere.
- Lagrangian: $L(\mathbf{x}, \lambda)=\mathbf{x}^{\top} A \mathbf{x}-\lambda\left(\|\mathbf{x}\|_{2}^{2}-1\right)$.
- Vanishing of $\nabla L$ at critical $\left(\mathbf{x}_{c}, \lambda_{c}\right) \in \mathbb{R}^{n} \times \mathbb{R}$ yields familiar

$$
A \mathbf{x}_{c}=\lambda_{c} \mathbf{x}_{c} .
$$

- $A \in \mathbb{R}^{m \times n}$.
- Singular values/vectors with $\mathbf{x}^{\top} A \mathbf{y} /\|\mathbf{x}\|_{2}\|\mathbf{y}\|_{2}$ as 'Rayleigh quotient'.
- Lagrangian: $L(\mathbf{x}, \mathbf{y}, \sigma)=\mathbf{x}^{\top} A \mathbf{y}-\sigma\left(\|\mathbf{x}\|_{2}\|\mathbf{y}\|_{2}-1\right)$.
- At critical $\left(\mathbf{x}_{c}, \mathbf{y}_{c}, \sigma_{c}\right) \in \mathbb{R}^{m} \times \mathbb{R}^{n} \times \mathbb{R}$,

$$
A \mathbf{y}_{c} /\left\|\mathbf{y}_{c}\right\|_{2}=\sigma_{c} \mathbf{x}_{c} /\left\|\mathbf{x}_{c}\right\|_{2}, \quad A^{\top} \mathbf{x}_{c} /\left\|\mathbf{x}_{c}\right\|_{2}=\sigma_{c} \mathbf{y}_{c} /\left\|\mathbf{y}_{c}\right\|_{2} .
$$

- Writing $\mathbf{u}_{c}=\mathbf{x}_{c} /\left\|\mathbf{x}_{c}\right\|_{2}$ and $\mathbf{v}_{c}=\mathbf{y}_{c} /\left\|\mathbf{y}_{c}\right\|_{2}$ yields familiar

$$
A \mathbf{v}_{c}=\sigma_{c} \mathbf{u}_{c}, \quad A^{\top} \mathbf{u}_{c}=\sigma_{c} \mathbf{v}_{c}
$$

## Multilinear spectral theory

- Extends to hypermatrices.
- For $\mathbf{x}=\left[x_{1}, \ldots, x_{n}\right]^{\top} \in \mathbb{R}^{n}$, write $\mathbf{x}^{p}:=\left[x_{1}^{p}, \ldots, x_{n}^{p}\right]^{\top}$.
- Define the ' $\ell^{k}$-norm' $\|\mathbf{x}\|_{k}=\left(x_{1}^{k}+\cdots+x_{n}^{k}\right)^{1 / k}$.
- Define eigenvalues/vectors of $\mathcal{A} \in \mathrm{S}^{k}\left(\mathbb{R}^{n}\right)$ as critical values/points of the multilinear Rayleigh quotient

$$
\mathcal{A}(\mathbf{x}, \ldots, \mathbf{x}) /\|\mathbf{x}\|_{k}^{k}
$$

- Lagrangian

$$
L(\mathbf{x}, \sigma):=\mathcal{A}(\mathbf{x}, \ldots, \mathbf{x})-\lambda\left(\|\mathbf{x}\|_{k}^{k}-1\right)
$$

- At a critical point

$$
\mathcal{A}\left(I_{n}, \mathbf{x}, \ldots, \mathbf{x}\right)=\lambda \mathbf{x}^{k-1}
$$

- Likewise for singular values/vectors of $\mathcal{A} \in \mathbb{R}^{d_{1} \times \cdots \times d_{k}}$.


## Multilinear spectral theory

- If $\mathcal{A}$ is symmetric,

$$
\mathcal{A}\left(I_{n}, \mathbf{x}, \mathbf{x}, \ldots, \mathbf{x}\right)=\mathcal{A}\left(\mathbf{x}, I_{n}, \mathbf{x}, \ldots, \mathbf{x}\right)=\cdots=\mathcal{A}\left(\mathbf{x}, \mathbf{x}, \ldots, \mathbf{x}, I_{n}\right)
$$

- For unsymmetric hypermatrices - get different eigenpairs for different modes (unsymmetric matrix have different left/right eigenvectors).
- Also obtained by Liqun Qi independently:
- L. Qi, "Eigenvalues of a real supersymmetric tensor," J. Symbolic Comput., 40 (2005), no. 6.
- L, "Singular values and eigenvalues of tensors: a variational approach," Proc. IEEE Int. Workshop on Computational Advances in Multi-Sensor Adaptive Processing, 1 (2005).
- Falls outside Classical Invariant Theory - not invariant under $Q \in \mathrm{O}(n)$, ie. $\|Q \mathbf{x}\|_{2}=\|\mathbf{x}\|_{2}$.
- Invariant under $Q \in \operatorname{GL}(n)$ with $\|Q \mathbf{x}\|_{k}=\|\mathbf{x}\|_{k}$.


## Perron-Frobenius theorem for hypermatrices

- An order-k cubical hypermatrix $\mathcal{A} \in \mathrm{T}^{k}\left(\mathbb{R}^{n}\right)$ is reducible if there exist a permutation $\sigma \in \mathfrak{S}_{n}$ such that the permuted hypermatrix

$$
\llbracket b_{i_{1} \cdots i_{k}} \rrbracket=\llbracket a_{\sigma\left(j_{1}\right) \cdots \sigma\left(j_{k}\right)} \rrbracket
$$

has the property that for some $m \in\{1, \ldots, n-1\}, b_{i_{1} \ldots i_{k}}=0$ for all $i_{1} \in\{1, \ldots, n-m\}$ and all $i_{2}, \ldots, i_{k} \in\{1, \ldots, m\}$.

- We say that $A$ is irreducible if it is not reducible. In particular, if $\mathcal{A}>0$, then it is irreducible.


## Theorem (L)

Let $0 \leq \mathcal{A}=\llbracket a_{j_{1} \cdots j_{k}} \rrbracket \in \mathrm{~T}^{k}\left(\mathbb{R}^{n}\right)$ be irreducible. Then $\mathcal{A}$ has
(1) a positive real eigenvalue $\lambda$ with an eigenvector $\mathbf{x}$;
(2) x may be chosen to have all entries non-negative;
(3) if $\mu$ is an eigenvalue of $A$, then $|\mu| \leq \lambda$.

## Hypergraphs

- $G=(V, E)$ is 3-hypergraph.
- $V$ is the finite set of vertices.
- $E$ is the subset of hyperedges, ie. 3-element subsets of $V$.
- Write elements of $E$ as $[x, y, z](x, y, z \in V)$.
- $G$ is undirected, so $[x, y, z]=[y, z, x]=\cdots=[z, y, x]$.
- Hyperedge is said to degenerate if of the form $[x, x, y]$ or $[x, x, x]$ (hyperloop at $x$ ). We do not exclude degenerate hyperedges.
- $G$ is $m$-regular if every $v \in V$ is adjacent to exactly $m$ hyperedges.
- $G$ is $r$-uniform if every edge contains exactly $r$ vertices.
- Good reference: D. Knuth, The art of computer programming, 4, pre-fascicle 0a, 2008.


## Spectral hypergraph theory

- Define the order-3 adjacency hypermatrix $\mathcal{A}=\llbracket a_{i j k} \rrbracket$ by

$$
a_{x y z}= \begin{cases}1 & \text { if }[x, y, z] \in E \\ 0 & \text { otherwise }\end{cases}
$$

- $\mathcal{A} \in \mathbb{R}^{|V| \times|V| \times|V|}$ nonnegative symmetric hypermatrix.
- Consider cubic form

$$
\mathcal{A}(f, f, f)=\sum_{x, y, z} a_{x y z} f(x) f(y) f(z)
$$

where $f \in \mathbb{R}^{V}$.

- Eigenvalues (resp. eigenvectors) of $A$ are the critical values (resp. critical points) of $\mathcal{A}(f, f, f)$ constrained to the $f \in \ell^{3}(V)$, ie.

$$
\sum_{x \in V} f(x)^{3}=1
$$

## Spectral hypergraph theory

We have the following.

## Lemma (L)

Let $G$ be an m-regular 3-hypergraph. $\mathcal{A}$ its adjacency hypermatrix. Then
(1) $m$ is an eigenvalue of $\mathcal{A}$;
(2) if $\lambda$ is an eigenvalue of $\mathcal{A}$, then $|\lambda| \leq m$;
(3) $\lambda$ has multiplicity 1 if and only if $G$ is connected.

Related work: J. Friedman, A. Wigderson, "On the second eigenvalue of hypergraphs," Combinatorica, 15 (1995), no. 1.

## Spectral hypergraph theory

- A hypergraph $G=(V, E)$ is said to be $k$-partite or $k$-colorable if there exists a partition of the vertices $V=V_{1} \cup \cdots \cup V_{k}$ such that for any $k$ vertices $u, v, \ldots, z$ with $a_{u v \cdots z} \neq 0, u, v, \ldots, z$ must each lie in a distinct $V_{i}(i=1, \ldots, k)$.


## Lemma (L)

Let $G$ be a connected m-regular k-partite $k$-hypergraph on $n$ vertices. Then
(1) If $k \equiv 1 \bmod 4$, then every eigenvalue of $G$ occurs with multiplicity a multiple of $k$.
(2) If $k \equiv 3 \bmod 4$, then the spectrum of $G$ is symmetric, ie. if $\lambda$ is an eigenvalue, then so is $-\lambda$.
(3) Furthermore, every eigenvalue of $G$ occurs with multiplicity a multiple of $k / 2$, ie. if $\lambda$ is an eigenvalue of $G$, then $\lambda$ and $-\lambda$ occurs with the same multiplicity.

## To do

- Cases $k \equiv 0,2 \bmod 4$
- Cheeger type isoperimetric inequalities
- Expander hypergraphs
- Algorithms for eigenvalues/vectors of a hypermatrix


## Advertisement

Geometry and representation theory of tensors for computer science, statistics, and other areas
(1) MSRI Summer Graduate Workshop

- July 7 to July 18, 2008
- Organized by J.M. Landsberg, L.-H. Lim, J. Morton
- Mathematical Sciences Research Institute, Berkeley, CA
- http://msri.org/calendar/sgw/WorkshopInfo/451/show_sgw
(2) AIM Workshop
- July 21 to July 25, 2008
- Organized by J.M. Landsberg, L.-H. Lim, J. Morton, J. Weyman
- American Institute of Mathematics, Palo Alto, CA
- http://aimath.org/ARCC/workshops/repnsoftensors.html

